



**COUNTY OF LOS ANGELES – DEPARTMENT OF MENTAL HEALTH  
ADULT SYSTEMS OF CARE  
CALWORKS MENTAL HEALTH SUPPORTIVE SERVICES**

**DMH CALWORKS BULLETIN No. 07-01  
COMPLETION OF GAIN FORMS**

October 1, 2007 (Revised 5-28-08)

TO: All DMH CalWORKs Mental Health Supportive Services Providers

FROM: Elizabeth Gross, Mental Health Clinical Program Head  
CalWORKs Program

SUBJECT: Completion of GAIN forms

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1. Purpose
  2. Background
  3. DPSS Program Requirements
  4. Specific Forms
  5. Explanation of forms

**1. PURPOSE**

The purpose of this Bulletin, No. 07-01, is to provide an explanation of the most commonly used GAIN forms and to provide instructions to providers for completing them. These forms (GN 6006A, GN 6006B, GN 6008, PA 1923, *Notification Letter*, PA 1132, GN 6149, GN 6007B, and GN 6011) are required to verify eligibility and to report the attendance of CalWORKs clients to their mental health appointments.

**2. BACKGROUND**

Forms are used by CalWORKs eligibility staff, GAIN Services Workers (GSWs) and Contract Case Managers (CCMs) when processing participants who have been identified as having a need for specialized supportive services such as Clinical

Assessment, Substance Abuse, Mental Health, Domestic Violence, and Family Preservation. In order for a mental health provider to be reimbursed for CalWORKs mental health services, the client must be eligible for these services and the provider must have documentation to support that eligibility for the period that services are rendered. DPSS has created specific forms to address the eligibility, referral, assessment, and treatment progress of the CalWORKs participant. The client's GSW uses these forms to verify a CalWORKs participant's attendance and participation in the identified specialized supportive service activity. In addition, the service provider uses the form to communicate recommendations for hours of participation in treatment and for the client's participation in additional welfare-to-work activities. The GSW uses these recommendations as a basis for planning with the participant his/her welfare-to-work plan.

### **3. DPSS PROGRAM REQUIREMENTS**

Two of the DPSS Performance Requirements (described in DMH CalWORKs Bulletin No. 05-06, Provider Compliance with DPSS CalWORKs Program Requirements) address the use of required forms and timely communication with GAIN. One performance requirement is that the provider track and report to GAIN the CalWORKs participant's progress towards employment; the other is that staff sends required GAIN documents to the GSW on a timely basis. This communication is necessary for GAIN to credit the participant for his/her compliance with the GAIN contract and to manage the CalWORKs participant's case appropriately.

DPSS Manual Letter Number 4687 (6/15/06) provides guidelines for GSWs and CCMs regarding the completion of the forms routinely used to communicate with specialized supportive service providers at different stages of the referral or treatment process. Providers must accurately and thoroughly complete the Provider section of the required forms to ensure that the participant is properly credited for the identified activity.

### **4. SPECIFIC FORMS**

**GN 6006A** – Page 1, *CalWORKs Clinical Assessment Provider Referral*; and  
Page 2, *CalWORKs Clinical Assessment Results*

These forms are used by the GSW or CASC Service Advocate when referring a participant to Clinical Assessment for substance abuse and/or mental health;  
Page 2 must be completed and returned by the provider after the assessment.

**GN 6006B** – Page 1, *CalWORKs Supportive Services Provider Referral*; and  
Page 2, *CalWORKs Supportive Services Results*

These forms are used by the mental health service provider after the clinical assessment to communicate the participant's decision regarding continuing in treatment.

**GN 6008** – *Mental Health/Substance Abuse/Domestic Violence/Family Preservation Program Service Provider Progress Report*

This form is automatically generated by GEARS—the DPSS computer data system—every 90 days and mailed to the treatment provider. Mental health treatment providers are required to complete this form every 90 days for as long as the client continues to receive services billed to CalWORKs.

**PA 1923** – *CalWORKs Treatment/Services Verification*

This form is used by the mental health service provider to identify those CalWORKs participants who are already receiving services at their facility prior to entry into GAIN to verify their eligibility for CalWORKs mental health services.

**DPSS' responses to the PA 1923** – There are several different forms used by DPSS to respond to the PA 1923. These include the ***Notification Letter*** to the treatment provider to inform them of the participant's eligibility for CalWORKs; the ***PA 1132 (CalWORKs Eligibility Worker/GAIN Services Worker Notification to Service Providers)*** which confirms receipt of the PA 1923 and provides GAIN worker information; and the ***GN 6149 (CalWORKs Welfare-to-Work Notification)***, which is used by the GSW to confirm receipt of the PA 1923 for participants receiving supportive services and to notify the treatment services provider whether or not a Welfare-to-Work plan has been signed.

**GN 6007B** – *CalWORKs Supportive Services Enrollment Termination Notice*

This form is used by the mental health service provider to inform the GSW that the CalWORKs participant will no longer receive CalWORKs mental health services at that agency.

**GN 6011** – *Service Provider Cancellation/Stop Notice*

This form is used by the GSW to notify the treatment provider to stop services.

## **5. EXPLANATION OF FORMS**

### **GN 6006A – Page 1, CalWORKs Clinical Assessment Provider Referral**

This form is completed manually by the GSW, the CCM or the co-located Service Advocate when the participant is referred for a clinical assessment for substance abuse and/or mental health. The form is given to the participant to take to his/her clinical assessment appointment; the GSW or the CASC Service Advocate may also fax the form to the provider. The mental health provider does not complete any portion of this form. This form ensures that the participant is CalWORKs eligible at the time of referral, and the form serves as back-up documentation in the billing reconciliation process in the event of a rejected billing claim.

**CalWORKs**  
**CLINICAL ASSESSMENT PROVIDER REFERRAL**

[ (Participant's Name and Address) ] [ (GAIN Regional Office)] ]

[ (GSWCCM Name/File Number) ] [ (Participant Case Number) ]

**IMPORTANT CLINICAL ASSESSMENT APPOINTMENT NOTICE**

**Completed by Referring Individual:**

The following appointment has been scheduled for you to attend a clinical assessment for:

☐ Mental Health

☐ Substance Abuse

On: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ at \_\_\_\_  
Date Time

Address: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Contact Person: \_\_\_\_\_

It is important for you to keep this appointment and take this notice with you.

If for any reason you cannot keep this appointment or have a problem, please contact your GAIN Services Worker immediately. You may also contact your CASC Service Advocate, \_\_\_\_\_ and the telephone number to reach him/her is \_\_\_\_\_.

Person Referring and Title:	File No.:	Phone No.: ( )	Fax No.: ( )
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I understand that I am being referred to Clinical Assessment as indicated above. If I fail to attend this appointment, I understand I may be subject to additional contact by a service provider. If additional contact is unsuccessful, I may be put into non-compliance.

\_\_\_\_\_  
GAIN Participant's Signature

\_\_\_\_\_  
Date

## **GN 6006A – Page 2, CalWORKs Clinical Assessment Results**

This form should contain the GAIN Region name and address, the GSW/CCM name and fax number, and Section A should have been completed. This form should also bear the signature of the GAIN participant in Section C.

**The mental health provider should complete Section B and return the form to the GSW within 5 workdays of the scheduled Clinical Assessment appointment.** The provider must check the appropriate boxes to indicate if the participant appeared for the assessment and agrees to continue in treatment. If the participant agrees to participate in treatment, the mental health provider must complete the “Referred to” section to indicate the name, address and contact information of the treatment provider. In most cases, this will be the same provider that completed the clinical assessment. The provider must also indicate the date and time of the appointment for the start of treatment, which must be different than the date of the assessment.

**CalWORKs CLINICAL ASSESSMENT RESULTS**

[ To: (GAIN Regional Office) ] [ From: (Name &amp; Address of Facility) ]

Attention: \_\_\_\_\_  
GSW/CCM Name/File Number

Fax No.: \_\_\_\_\_

**Section A - Completed by Referring Individual**

Participant Name:		CalWORKs Case Number:	
Residence Address: (Do not use for domestic violence if confidential address is requested.)		Mailing Address:	
Primary Language:	Birth Date:	Sex: <input type="checkbox"/> M <input type="checkbox"/> F	Social Security Number: (Confidential for DV) ( )

**Section B - Completed by Clinical Assessor** (Complete and return to the GAIN Services Worker within 5 workdays.)**Results of the assessment appointment:**IMMEDIATE NEED ☐

- ☐ Participant did not appear/complete the assessment.
- ☐ Participant completed the assessment, but does not need a referral for treatment.
- ☐ Participant completed assessment & needs a referral, but does not agree to treatment for
- ☐ Participant completed assessment and agrees to recommended treatment for
- ☐ Participant completed assessment and does not agree; requests third party assessment.

☐ MH ☐ SA  
☐ MH ☐ SA  
☐ MH ☐ SA
**REFERRAL MADE FOR:**☐ MH and/or ☐ SA**Referred to:**

Name of Provider: \_\_\_\_\_

On: \_\_\_\_/\_\_\_\_/\_\_\_\_ at \_\_\_\_ Time

Address: \_\_\_\_\_

Phone No.: \_\_\_\_\_

Fax No.: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Name of Assessor:	Facility Name:	Phone No.: ( )
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**Section C - Completed by GAIN Participant**

I authorize the release of information to DPSS regarding the results of my assessment and possible need for treatment services and recommended service plan.

\_\_\_\_\_  
GAIN Participant's Signature\_\_\_\_\_  
Date

## **GN 6006B – Page 1, CalWORKs Service Provider Referral**

This form is completed by the clinical assessor when referring the participant to treatment services after the clinical assessment. It is the appointment notice for the participant with information about his/her appointment for the start of treatment. Your local GAIN office may or may not require this form to be submitted to the GSW.

## CalWORKs SUPPORTIVE SERVICE PROVIDER REFERRAL

[ (Participant's Name and Address) ] [ (CalWORKs District or GAIN Regional Office) ]

[ ] [ ]

## IMPORTANT APPOINTMENT NOTICE

**You have been scheduled to attend the following appointment for:**

- ☐ Mental Health Services
- ☐ Substance Abuse Services
- ☐ Domestic Violence Case Management
- ☐ Domestic Violence Legal Services
- ☐ Direct Referral for Mental Health services
- ☐ Family Preservation

(Immediate Need/Urgent within 2 workdays and Non-emergent within 5 workdays)

On:    /       /       at                      Address: \_\_\_\_\_  
Date                  Time

Phone No.: \_\_\_\_\_  
Fax No.: \_\_\_\_\_  
Contact Person: \_\_\_\_\_

**It is important for you to keep this appointment. Bring this notice with you.**

**If for any reason you cannot keep this appointment or have a problem, please contact me immediately.**

Person Making Referral:	File No:	Phone No.: (   )	Fax No.: (   )
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I understand that I am being referred to an appointment to begin supportive services as indicated above. If I fail to attend this appointment, I understand that I may be contacted by the clinical assessor and/or service provider. If additional contact is unsuccessful, a compliance process may follow, which may result in the lowering of my cash aid.

GAIN Participant's Signature

Date \_\_\_\_\_



## **GN 6006B – Page 2, CalWORKs Supportive Services Results**

The GSW should fax this form to the treatment provider with Section A filled in and Section C signed by the participant. **The provider must complete Section B and return the form to DPSS within 5 workdays from the appointment date.** Upon receipt of this form, the GSW reviews the information and updates the component on GEARS. The GSW also uses the information on the form to authorize other supportive services such as child care, transportation and other ancillary services, as appropriate.

The Mental Health provider should complete subsections I, III, IV, and V of Section B (Subsection II is for DV only). In Subsection I, the provider must indicate the date the participant began services; this date must be different than the date of the clinical assessment appointment by at least one day. It is also helpful for the GSW to know if the number of hours in treatment at your facility is distributed over more than one day, as this will affect transportation and childcare arrangements. Do not include travel time in your hours of participation in mental health services.

**CalWORKs SUPPORTIVE SERVICES RESULTS**

[To: (GAIN Regional Office)]

] [From: Name &amp; Address of Facility]

]

Attention: \_\_\_\_\_  
GSW Name/Number

[ Fax No.: \_\_\_\_\_ ]

[

]

**A - Completed by GSW/CCM/CalWORKs Eligibility Staff or Co-located staff**

Participant Name:		CalWORKs Case No.:		
Residence Address (Do not use for domestic violence if confidential address is requested):		Mailing Address: (DV only)		
Primary Language:	Birth Date:	Sex: <input type="checkbox"/> M <input type="checkbox"/> F	Social Security No.:	Phone No. (Confidential for DV) ( )

**B - Completed by Service Provider (Complete and return to the GSW/CCM within 5 workdays)**

<b>I. SUBSTANCE ABUSE</b> <input type="checkbox"/> <b>AND/OR MENTAL HEALTH</b> <input type="checkbox"/> (Complete as applicable)				
1. <input type="checkbox"/> Participant failed to appear for services. 2. <input type="checkbox"/> Participant began services on: ____/____/____. Services are: <input type="checkbox"/> Residential <input type="checkbox"/> Non-Residential 3. <input type="checkbox"/> Expected duration of needed services: ____ months. 4. <input type="checkbox"/> Participant is receiving treatment/services 32 or more hrs/week: <input type="checkbox"/> Yes <input type="checkbox"/> No If no, number of hrs/week: ____. (Participant may be considered full-time or may be eligible to medical exemption and receive services as an exempt volunteer). 5. <input type="checkbox"/> Participant is able to participate in other Welfare-to-Work (WtW) activities?: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, how many hrs/week: ____. (Participant may be eligible for an exemption and still participate in GAIN as an exempt volunteer). 6. <input type="checkbox"/> Participant may be eligible to medical exemption. Please issue a GN 6051, Verification of GAIN Exemption/Deferral, form* *A medical exemption may be granted if a participant, due to a physical or mental disability, is unable to fully participate for 32/35 hours for at least 30 days.				
<b>II. DOMESTIC VIOLENCE CASE MANAGEMENT</b> <input type="checkbox"/> <b>AND/OR LEGAL SERVICES</b> <input type="checkbox"/> (Complete as applicable)				
7. <input type="checkbox"/> Participant failed to appear for services. 8. <input type="checkbox"/> Participant began services on: ____/____/____. Services are: <input type="checkbox"/> Residential <input type="checkbox"/> Non-Residential 9. <input type="checkbox"/> Expected duration of needed services: ____ months. 10. <input type="checkbox"/> Participant can participate in DV services: ____ hrs/week and is able to do other WtW activities: ____ hrs/week within a WtW plan. To allow for successful participation, the following requirements shall be waived: <input type="checkbox"/> 32 hrs/week GAIN participation requirement. <input type="checkbox"/> Core hours of participation. <input type="checkbox"/> Regular GAIN flow. <input type="checkbox"/> Mandatory participation in GAIN WtW activities and possibly subject to financial sanction. <input type="checkbox"/> Child Support Cooperation or <input type="checkbox"/> Other: _____ 11. <input type="checkbox"/> Participant shall be granted Waiver from the WtW program requirements and receive DV services outside of a WtW Plan. 12. <input type="checkbox"/> Participant can participate in DV services: ____ hrs/week and/or other WtW activities: ____ hrs/week outside of a WtW plan and be granted a waiver. (Participant may be eligible for an exemption and still participate in GAIN as an exempt volunteer).				
<b>III. OTHER SUPPORTIVE SERVICES NEEDS</b> (Complete as applicable) Participant needs the following supportive services: <input type="checkbox"/> Child care <input type="checkbox"/> Public Transportation or <input type="checkbox"/> Mileage: ____ per month <input type="checkbox"/> Other: _____ <input type="checkbox"/> Ancillary work/related expenses such as: <input type="checkbox"/> Books, <input type="checkbox"/> Fees, <input type="checkbox"/> Uniforms, and/or <input type="checkbox"/> Tools/Supplies				
<b>IV. OTHER</b> – The following services are ordered by the court system: <input type="checkbox"/> DV Counseling <input type="checkbox"/> Substance Abuse <input type="checkbox"/> Mental Health				
V. Signature/Print Name of Person Completing this form:		Title:	Phone No.: ( )	Date:

**C - Completed by GAIN Participant:**

I authorize the Department of Public Social Services and the above service provider to verify information regarding the status of my CalWORKs application/case and/or continuing eligibility to receive CalWORKs Specialized Supportive Services. <input type="checkbox"/> I am aware that my mental health and/or substance abuse services will be incorporated in my CalWORKs Welfare-to-Work plan. <input type="checkbox"/> I am aware that my domestic violence services may be incorporated now, or eventually, in a CalWORKs Welfare-to-Work plan. The determination will be made by my GAIN Services Worker/Contracted Case Manager in consultation with the service provider.	
Participant's Signature	Date

## **GN 6008 - Mental Health/Substance Abuse/Domestic Violence/Family Preservation Program Service Provider Progress Report**

This form is completed by the treatment provider to notify the GSW of the participant's progress, completion, termination, and/or any other significant changes. This form is automatically generated by GEARS every 90 days and mailed to the CalWORKs mental health treatment providers to be completed and returned within 14 days of receipt. In some cases this form may be completed manually and mailed by the GSW/CCM every 90 days. The form will typically have the provider name, "Reply To" information, Section A and Section B completed, as well as the GAIN Services Worker information at the bottom of the form. In the event that the provider does not receive this form from the GAIN office, it is the provider's responsibility to complete a blank form and mail or fax it to the GSW. Hours of participation in mental health services should not include travel time to and from the mental health appointment.

**MENTAL HEALTH/SUBSTANCE ABUSE/DOMESTIC VIOLENCE/FAMILY PRESERVATION  
PROGRAM SERVICE PROVIDER PROGRESS REPORT**

[	Reply To:   Attention: _____
]	]

OUR RECORDS INDICATE THAT THE FOLLOWING PARTICIPANT IS RECEIVING SERVICES IN YOUR PROGRAM. VERIFICATION OF PROGRESS IS NEEDED FOR HIS/HER CONTINUING ELIGIBILITY TO CalWORKs. PLEASE COMPLETE THIS FORM AND RETURN IT TO THE ABOVE ADDRESS WITHIN 14 CALENDAR DAYS FROM THE POST DATE. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT THE GAIN SERVICES WORKER AT THE PHONE NUMBER POSTED AT THE BOTTOM OF THIS FORM.

**A - Completed by GSW/CCM**

Participant:	Case No.:
Social Security No.:	Date of Birth:

**B - Completed by Service Provider (Complete and return to the GSW/CCM within 14 calendar days from the post date)**

<b>I. TYPE OF SERVICE</b> <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> Family Preservation <input type="checkbox"/> Domestic Violence (DV) Case Management <input type="checkbox"/> Domestic Violence (DV) Legal Services					
<b>II. DUAL DIAGNOSIS/CONCURRENT SERVICES</b> (if applicable) <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services					
<b>III. PROGRESS</b> (Complete as applicable) The above-referenced CalWORKs participant: 1. <input type="checkbox"/> is participating and maintaining progress consistent with the above Supportive Services activity. 2. <input type="checkbox"/> is currently attending/receiving treatment/services 32 hrs/week. If no, number of hrs/week _____ <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 3. <input type="checkbox"/> is now able to include DV activity within the WTW Plan. 4. <input type="checkbox"/> is expected to complete services on ____/____/____ (if less than 90 days). <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 5. <input type="checkbox"/> is no longer receiving services under this contract effective ____/____/____ for: <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 6. <input type="checkbox"/> has dropped-out of services effective ____/____/____. 7. <input type="checkbox"/> successfully completed services on ____/____/____. 8. <input type="checkbox"/> requests an extension of the Supportive Services activity until ____/____/____ (more than 90 days).					
<b>IV. CONCURRENT ACTIVITY</b> (Evaluate concurrent activity within six (6) months from start date of services) The above referenced CalWORKs participant: <input type="checkbox"/> DV only, is able to participate in another WtW activity: _____ hours/week _____ days/week _____ outside of a WtW Plan and be granted a waiver. (Participant shall be granted an exemption and still participate in GAIN as an exempt volunteer.) <input type="checkbox"/> is able to participate in another WtW activity: _____ hours/week _____, days/week _____ within the WtW plan.					
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">Service Provider/Staff Person's Name:</td> <td style="width: 25%;">Title:</td> <td style="width: 20%;">Phone No.: (    )</td> <td style="width: 22%;">Date:</td> </tr> </table>	Service Provider/Staff Person's Name:	Title:	Phone No.: (    )	Date:	
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<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">GAIN Services Worker:</td> <td style="width: 15%;">File No.:</td> <td style="width: 25%;">Telephone No.:</td> <td style="width: 20%;">Fax No.:</td> <td style="width: 15%;">Date:</td> </tr> </table>	GAIN Services Worker:	File No.:	Telephone No.:	Fax No.:	Date:
GAIN Services Worker:	File No.:	Telephone No.:	Fax No.:	Date:	

GN 6008 (Rev. 12/05)

## **PA 1923 – CalWORKs Treatment/Services Verification**

The PA 1923 form (CalWORKs Treatment/Services Verification) is completed by the service provider 1) to identify CalWORKs participants who are already receiving treatment services at their facility prior to entry into GAIN, or 2) to verify eligibility for CalWORKs mental health services of clients that are self-referred or referred by sources other than GAIN and the CASC Service Advocates. **The service provider should mail or fax this form to Tina Williams at DPSS Central County GAIN Region IV (information pre-printed on the form) within ten (10) workdays—not to exceed thirty (30) days—of initial contact with the participant (or identification of the client as possibly CalWORKs eligible).**

**CalWORKs TREATMENT/SERVICES VERIFICATION**

[ To: Central County GAIN Region IV ] [ From: ]  
 3833 S. Vermont Ave  
 Los Angeles, CA. 90037

[ FAX Number: (323) 730-5881 ] [ ]

**A. PROVIDER CERTIFICATION**

As an authorized employee of the treatment/service provider agency named above, I certify that the individual named below is receiving:  
☐ DOMESTIC VIOLENCE (DV) CASE MANAGEMENT ☐ DOMESTIC VIOLENCE (DV) LEGAL SERVICES ☐ SUBSTANCE ABUSE SERVICES ☐ MENTAL HEALTH SERVICES to help him/her overcome a barrier to employment. I understand that payment to contracted service provider is contingent on the CalWORKs participant maintaining eligibility to CalWORKs and complying with all requirements, assuming that the provider has been notified of the non-compliance by DPSS. In instances of substance abuse or mental health problems, this includes signing a Welfare-to-Work (WtW) plan which includes the appropriate treatment or services. For domestic violence victims, certain requirements can be waived, including a WtW plan. This form must be submitted within 10 workdays of client's signature, but not to exceed 30 days. In addition, the service provider must have received the GN 6008, Mental Health/Substance Abuse/Domestic Violence/Family Preservation Program Services Provider Progress Report, 90 days from service start date, to confirm participant's continued eligibility to CalWORKs.

Print Name/Title of Authorized Person \_\_\_\_\_ Date Signed \_\_\_\_\_ Phone Number \_\_\_\_\_ Fax Number \_\_\_\_\_

**B. PARTICIPANT IDENTIFICATION**

1. Name (first/last): \_\_\_\_\_
2. Social Security No. \_\_\_\_\_ and/or DPSS Case No.: \_\_\_\_\_
3. Participant began/will begin services: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Services are: ☐ Residential ☐ Non-Residential

**C. SUBSTANCE ABUSE ☐ AND/OR MENTAL HEALTH ☐ (Complete when applicable)**

4. ☐ Expected duration of needed treatment/services: \_\_\_\_\_ months.
5. ☐ Participant is receiving treatment/services 32 or more hrs/week. ☐ Yes ☐ No If no, number of hrs/week: \_\_\_\_\_  
 (Participant may be considered full-time or may be eligible for a medical exemption and receive services as an exempt volunteer).
6. ☐ Participant is able to participate in other WtW activities? ☐ Yes ☐ No If yes, how many hrs/week: \_\_\_\_\_  
 (Participant may be eligible for an exemption and still participate in GAIN as an exempt volunteer).
7. ☐ Participant may be eligible to medical exemption. Please issue GN 6051, Verification of GAIN Exemption/Deferral, form\*.  
 \* A medical exemption may be granted if a participant, due to a physical/mental disability, is unable to fully participate at least 30 days.
8. ☐ Participant is eligible for an exemption and will participate in GAIN as an exempt volunteer.

**D. DOMESTIC VIOLENCE ☐ CASE MANAGEMENT AND/OR ☐ LEGAL SERVICES (Complete when applicable)**

9. ☐ Expected duration of needed services: \_\_\_\_\_ months.
10. ☐ Participant is participating in DV services: \_\_\_\_\_ hrs/week and is able to do other WtW activities: \_\_\_\_\_ hrs/week within a WtW plan.  
 To allow for successful participation, the following requirements shall be waived:  
☐ 32 hour/week GAIN participation requirement.  
☐ Core hours of participation.  
☐ Regular GAIN flow.  
☐ Mandatory participation in GAIN/WtW activities, which are subject to financial sanction.  
☐ Other, specify: \_\_\_\_\_
11. ☐ Participant shall be granted a DV Waiver from the mandatory WtW activities and received DV services outside a WtW Plan.
12. ☐ Participant is participating in DV services: \_\_\_\_\_ hrs/week and other WtW activities: \_\_\_\_\_ hrs/week outside of a WtW plan.  
 (Participant may be eligible for an exemption and still participate in GAIN as an exempt volunteer).

**E. OTHER SUPPORTIVE SERVICE NEEDS (Complete when applicable)**

Participant needs the following supportive services:

- ☐ Child care ☐ Public Transportation or ☐ Mileage: \_\_\_\_\_ per month ☐ Other: \_\_\_\_\_  
☐ Ancillary work-related expenses such as: ☐ Books ☐ Fees ☐ Uniforms, and/or ☐ Tools/Supplies

**F. OTHER Recommended services ordered by the court system? ☐ DV Counseling ☐ Substance Abuse ☐ Mental Health****G. PARTICIPANT AUTHORIZATION**

I authorize the Department of Public Social Services and the above treatment/services provider to verify information regarding the status of my CalWORKs application/case and/or continuing eligibility to receive CalWORKs Specialized Supportive Services. I am aware that my Mental Health and/or Substance Abuse services will be incorporated in my CalWORKs Welfare-to-Work Plan. I am aware that my Domestic Violence services may be incorporated now or eventually in my CalWORKs Welfare-to-Work Plan.

Participant's Signature \_\_\_\_\_ Date \_\_\_\_\_

**H. COUNTY ACTION: DATE: \_\_\_\_\_ ☐ ACCEPTED ☐ REJECTED ☐ PENDING ☐ CONDITIONAL ACCEPTANCE**

PA 1923 (Rev. 04/2008)

## **DPSS' response to the PA 1923:**

### **Notification Letter**

Upon receipt of the PA 1923, Ms. Williams reviews the form for completeness, checks the LEADER system to confirm whether or not the individual is CalWORKs eligible, and sends a *Notification Letter* (approved or rejected) to the treatment provider at the address listed on the PA 1923 within 3 workdays of receipt of the form. If the PA 1923 is accepted—that is, the individual is found to be eligible for CalWORKs—it is then forwarded to the appropriate District and Region.

### **PA 1132**

The SSS eligibility worker then reviews the case, updates the information on LEADER, and sends the PA 1132 (*CalWORKs Eligibility Worker/GAIN Services Worker Notification to Service Providers*) to the appropriate service provider to confirm receipt of the PA 1923 and provide worker information within three (3) workdays of receipt of the PA 1923.

### **GN 6149**

The SSS GSW reviews the PA 1923 and checks their GEARS system to verify whether the participant has an open specialized supportive services component and is in an authorized specialized supportive service. If not, the GSW contacts the participant within two (2) workdays of receipt of the PA 1923 to schedule an appointment to interview the participant and open a file. The participant may agree or decline to have the mental health supportive service as part of his/her welfare-to-work plan.

The GSW sends the GN 6149 (*CalWORKs Welfare-to-Work Notification*) to the service provider within 3 workdays of his/her appointment with the participant to inform them that the participant signed the WtW plan, failed to attend the GAIN appointment, or was granted an exemption or waiver of the WtW program requirement. If the participant agreed to sign the WtW plan that includes services for mental health, the GN 6149 will reflect that, and the provider should retain the completed GN 6149 form in the client's chart as supporting documentation of eligibility for CalWORKs mental health supportive services. In the event the participant fails to sign the WtW plan or elects not to include mental health supportive services, the provider should not open the case under CalWORKs mental health supportive services.





PHILIP L. BROWNING  
Director

SHERYL L. SPILLER  
Chief Deputy

# County of Los Angeles DEPARTMENT OF PUBLIC SOCIAL SERVICES

12860 CROSSROADS PARKWAY SOUTH · CITY OF INDUSTRY, CALIFORNIA 91746  
Tel (562) 908-8400 · Fax (562) 908-0459



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MICHAEL D. ANTONOVICH  
Fifth District

Reference: [ ] PA 1923 [ ] PA 1206

RE:

SSN/CASE NO.:

Dear Provider:

This is to inform you that the above referenced form:

- A. [ ] is **accepted**, the participant is receiving CalWORKs.
- B. [ ] is **rejected** for the following reason(s):
- [ ] **PA 1923 - CalWORKs Treatment/Services Verification** (Use with CalWORKs eligible participants only).
    - [ ] The individual is not aided on the CalWORKs case, not related to GAIN sanction/time limit.
    - [ ] Information is incomplete / Insufficient information, unable to verify.
    - [ ] No active case / No case record found.
    - [ ] Terminated (exceeding 90-days) effective: \_\_\_\_\_.
    - [ ] Case denied effective: \_\_\_\_\_.
    - [ ] Other: Client is an SSI recipient.
  - [ ] **PA 1206 - Screening for Potential CalWORKs Eligibility** (Use with Non-Custodial Parents only).
    - [ ] Individual has no CalWORKs-eligible child(ren) in Los Angeles County.
    - [ ] The individual named is receiving CalWORKs (a PA 1923 should be sent instead).
    - [ ] Information is incomplete.
    - [ ] No record found.
- C. [ ] is **pending**, the CalWORKs application was opened on\_\_\_\_, and a determination of eligibility is still pending.
- D. [ ] is **conditionally accepted** (pending resolution of participant's CalWORKs eligibility), the participant is not receiving CalWORKs due to the following reason(s):
- [ ] GAIN sanction, the participant failed to comply with the WtW program requirements.
  - [ ] Time Limit, the participant has exhausted the 60-month time limit clock.
  - [ ] DA sanction, the participant failed to cooperate with Child Support Enforcement.

**Note:** Please assist the participant to immediately contact DPSS as follows: 1) GAIN sanction, contact the corresponding GAIN office, Scheduling Clerk, to schedule an appointment to request to remove the sanction and open an SSS component; 2) Time-Limit, contact the corresponding GAIN office, Post Time Limit Services Liaison, to schedule an appointment to request an evaluation for Post Time Limit Services or a time limit extender; and 3) DA sanction, contact his/her CalWORKs Eligibility Worker to request an evaluation of the child support exemption.

Any questions regarding this letter should be directed to Colleen Cunningham at (562) 908-6324.

Very truly yours,

Nadia Mirzayans, HSA III  
Specialized Supportive Services Section

Enclosure(s)

*"To Enrich Lives Through Effective And Caring Service"*



**CalWORKs Eligibility Worker/GAIN Services Worker  
Notification to Service Providers**

[ Provider's Name and Address ] [ CalWORKs District or GAIN Regional Office ]

[ ] [ ]

Date: \_\_\_\_\_

This is to confirm receipt of form PA 1923, CalWORKs Treatment/Services Verification, and to provide worker information for the participant listed below for whom you are providing services at your facility.

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**I. PARTICIPANT INFORMATION**

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Case Name: \_\_\_\_\_

Participant Name (if different): \_\_\_\_\_

LEADER Case Number: \_\_\_\_\_ - \_\_\_\_ - \_\_\_\_ and/or

Social Security Number: \_\_\_\_\_ - \_\_\_\_ - \_\_\_\_\_

The above-named participant has been assigned to a specialized supportive services file.  
The specialized supportive services worker information is as follows:

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**II. ELIGIBILITY/GAIN SERVICES WORKER INFORMATION**

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Eligibility/GAIN Services Worker Name: \_\_\_\_\_

Worker File Number: \_\_\_\_\_  
Eligibility/GAIN Services Worker (circle one)

Phone Number: (\_\_\_\_) \_\_\_\_\_

Fax Number: (\_\_\_\_) \_\_\_\_\_

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**III. APPOINTMENT INFORMATION**

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The above-named participant has an appointment on \_\_\_\_/\_\_\_\_/\_\_\_\_ to discuss his/her supportive services activity/need at the above location. If he/she is unable to attend, please call me by \_\_\_\_/\_\_\_\_/\_\_\_\_ to reschedule.

**Please see above for CalWORKs District or GAIN Region location.**

Please note that unless previously notified, you should receive this form from both the Specialized Supportive Services Eligibility Worker and the Specialized Supportive Services GAIN Services Worker.

**CalWORKs WELFARE-TO-WORK NOTIFICATION**

[ Provider's Name and Address ]

[ GAIN Regional Office ]

[ ] [ ]

Participant Name:	CalWORKs Case No.
Residence Address:	Mailing Address:
Birthdate:	Social Security Number:

**Dear Provider:**

This is to confirm the following information regarding the participant listed above for whom you began providing CalWORKs Supportive Services prior to entry into GAIN and have submitted a PA 1923 for verification.

<b>I. GAIN Activity</b> 1. <input type="checkbox"/> Participant signed a Welfare-to-Work (WtW) plan as of ____/____/____. The plan includes services for: <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 2. <input type="checkbox"/> Participant signed a WtW plan as of ____/____/____. However, the participant elected not to include the below services in his/her plan: <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 3. <input type="checkbox"/> Participant was granted DV Waiver, decided to access DV services outside of a WtW plan as of: ____/____/____. 4. <input type="checkbox"/> Participant has not signed a WtW plan as of ____/____/____ and the following applies: <input type="checkbox"/> Participant requested and qualifies for an exemption effective: ____/____/____. <input type="checkbox"/> Participant requested to discontinue services and was deregistered. <input type="checkbox"/> Participant is an exempt volunteer. <input type="checkbox"/> Participant has been granted a DV Waiver. 5. <input type="checkbox"/> Participant was granted Good Cause and a DV Waiver, for not participating in GAIN, decided not to access DV services. (Please discontinue CalWORKs Domestic Violence services.) 6. <input type="checkbox"/> Participant's time clock has been adjusted and months of aid have been added back. Participant is now in his/her ____ month on aid. 7. <input type="checkbox"/> Other: _____			
<b>II. GAIN Activity Has Not Been Opened Because:</b> <input type="checkbox"/> The participant did not attend his/her GAIN appointment. I contacted the participant, but was unsuccessful, and therefore, he/she is in non-compliance for failure to sign a WtW plan. The participant must contact me by: ____/____/____ to avoid further action (i.e. compliance, sanction, deregistration).			
<b>III. Subsequent Notification:</b> 1. <input type="checkbox"/> The participant did not attend his/her GAIN appointment. I attempted to contact the participant, but was unsuccessful. The participant did not contact me by the date indicated above. I will begin the non-compliance process effective ____/____/____. (Please discontinue CalWORKs DV services.) 2. <input type="checkbox"/> We previously reported that the participant did not sign the WtW plan. As of ____/____/____, the participant has signed the WtW plan. The plan includes services for: <input type="checkbox"/> Mental Health <input type="checkbox"/> Substance Abuse <input type="checkbox"/> DV Case Management <input type="checkbox"/> DV Legal Services 3. <input type="checkbox"/> Participant has been granted a DV Waiver, decided to access DV services outside of the WtW Plan. 4. <input type="checkbox"/> Participant's time clock has been adjusted and months of aid have been added back. Participant is now in his/her ____ month on aid. 5. <input type="checkbox"/> Participant has been granted Good Cause for not participating in GAIN, decided not to access DV services. (Please discontinue CalWORKs DV services.) 6. <input type="checkbox"/> Other: _____			
GAIN Services Worker	File No.:	Phone No.:	Date:

## **GN 6007B – CalWORKs Supportive Services Enrollment Termination Notice**

Providers should use this form to notify the GSW of the termination of a participant from CalWORKs mental health services. The provider may send the completed form to the GSW as soon as the provider has determined that 1) the case will be closed, or 2) the case will be transferred from the CalWORKs plan to another billing plan. Providers may indicate whether the participant successfully completed treatment and write in a final date of service or the participant dropped out of services. In the case of a participant dropping out of treatment, the provider may or may not know if there was “good cause” and may or may not have authorization from the participant to release the reason to the GSW. In either case, the provider may indicate the date that services were discontinued, and the completed form should be faxed or mailed to the GSW.

**CalWORKs SUPPORTIVE SERVICES ENROLLMENT TERMINATION NOTICE**

[ To: (GAIN Regional Office) ] [ From: Service Provider Name &amp; Address ]

Attention: \_\_\_\_\_  
[ GSW Name/Number ] [ ]**Provider Certification**

Participant Name:	Participant Address:
Social Security No.:	
Case No.:	
GAIN Activity:	

This is to inform you that the above-named participant has:

- ☐ Successfully completed his/her services/treatment activity on: \_\_\_\_\_
- ☐ Dropped-out of services with good cause on: \_\_\_\_\_
- ☐ Dropped-out of services without good cause on: \_\_\_\_\_  
Reason: \_\_\_\_\_
- ☐ Services not completed; participant entered employment on: \_\_\_\_\_
- ☐ Services not completed; participant transferred to other WtW activity: \_\_\_\_\_
- ☐ Terminated his/her services; participant transferred to another provider on: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Service Provider Representative:	Title:	Phone No.: ( )	Date:
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GN 6007B (Rev. 06/06)

## **GN 6011 – Service Provider Cancellation/Stop Notice**

This form is used by the GSW to notify mental health providers to stop providing a particular service to the CalWORKs participant. Mental health providers will routinely receive this form after the completion of the clinical assessment, when the clinical assessment component (coded as 1) is closed on GEARS. The GSW will also send this notice to the provider when the mental health supportive services component (coded as 3) is closed. The effective date requesting that services stop may be several weeks prior to the provider's receipt of the notification. Upon receipt of this form notifying the provider to stop providing mental health services (3), the provider has until the end of that calendar month (up to 30 days) to terminate CalWORKs services with the participant, so discussion of the impending termination should begin immediately. If the provider is unsure what the form means, and the participant indicates that there has been no change in his/her status, the provider is encouraged to contact the GSW for verification of status.

**SERVICE PROVIDER CANCELLATION/STOP NOTICE**

PROVIDER'S ADDRESS

ADDRESS REPLY TO:  
GAIN REGIONAL OFFICE

PARTICIPANT NAME:

CASE NUMBER:

SOCIAL SECURITY NUMBER:

DATE:

CHILD NAME:

DEAR SERVICE PROVIDER:

EFFECTIVE \_\_\_\_\_, THE ABOVE NAMED GAIN PARTICIPANT IS NO LONGER ELIGIBLE TO RECEIVE THE FOLLOWING GAIN ACTIVITY/SUPPORTIVE SERVICE WHICH YOU HAVE BEEN PROVIDING TO HIM/HER. PLEASE STOP YOUR PROVISION OF

THE REASON FOR THE REQUEST FOR CANCELLATION OF SERVICES TO THE PARTICIPANT IS DUE TO HIS/HER: DROP OUT OTHER

IF YOU ARE CONTINUING TO RECEIVE CHILD CARE PAYMENTS FOR THE CHILD(REN) OF THIS PARTICIPANT, PLEASE DISREGARD THIS NOTICE.

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR YOUR CHILD CARE PAYMENT, PLEASE CONTACT:

\_\_\_\_\_  
GAIN CASE MANAGER

AT

\_\_\_\_\_  
TELEPHONE NUMBER